FORM PTO-1390

U.S. Department of Commerce Patent and Trademark Office

Attorney's Docket No.

TRANSMITTAL LETTER TO THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US) CONCERNING A FILING UNDER 35 U.S.C. 371

U.S. Application No. (if known, see 37 CFR 1.5)

10/509178

INTERNATIONAL APPLICATION NO. PCT/EP03/03147

INTERNATIONAL FILING DATE March 26, 2003

PRIORITY DATE CLAIMED March 28, 2002

TITLE OF INVENTION

SECURITY ELEMENT AND METHOD FOR PRODUCING IT

## APPLICANT(S) FOR DO/EO/US

Manfred HEIM, Theo BURCHARD

Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:

- 1. [X] This is a FIRST submission of items concerning a filing under 35 U.S.C. 371
- 2. [ ] This is a SECOND or SUBSEQUENT submission of items concerning a filing under 35 U.S.C. 371.
- 3. [ ] This is an express request to begin national examination procedures (35 U.S.C. 371(f)). The submission must include items (5), (6), (9) and (21) indicated below.
- 4. [X] The US has been elected (Article 31).
- 5. [X] A copy of the International Application as filed (35 U.S.C. 371(c)(2))
  - a. [X ] is attached hereto (required only if not communicated by the International Bureau).
  - o. [ ] has been communicated by the International Bureau.
  - c. [ ] is not required, as the application was filed in the United States Receiving Office (RO/US)
- 6. [X] An English language translation of the International Application as filed (35 U.S.C. 371(c)(2)).
  - a. [X] is attached hereto.
  - b. [ ] has been previously submitted under 35 U.S.C. 154(d)(4).
- 7. [ ] Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3))
  - a. [ ] are attached hereto (required only if not communicated by the International Bureau).
  - b. [ ] have been communicated by the International Bureau.
  - c. have not been made; however, the time limit for making such amendments has NOT expired.
  - d. [ ] have not been made and will not be made.
- 8. [ ] An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)).
- 9. [X] An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)).
- [X] An English language translation of the annexes to the International Preliminary Examination Report under PCT Article 36
  (35 U.S.C. 371(c)(5)).

## ITEMS 11. TO 20. below concern other document(s) or information included:

- 11. [X] An Information Disclosure Statement under 37 CFR 1.97 and 1.98.
- 12. [X] An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.
- 13. [X] A preliminary amendment w/substitute specification (clean and marked-up versions)
- 14. [X] An Application Data Sheet under 37 CFR 1.76.
- 15. [ ] A substitute specification.
- 16. [ ] A power of attorney and/or address letter.
- 17. [ ] A computer-readable form of the sequence listing in accordance with PCT Rule 13ter.2 and 35 U.S.C. 1.821-1.825
- 8. [ ] A second copy of the published international application under 35 U.S.C. 154(d)(4).
- 19. [X ] A second copy of the English language translation of the international application under 35 U.S.C. 154(d)(4).
- 20. [X ] Other items or information: Front Pages of WO 03/083159A2, ISR, IPER, PCT Request

U.S. APPLICATION OF (1995) A GFR 1507 8		INTERNATIONAL APPLICATION NO. PCT/EP03/03059		ATTORNEY DOCKET NO. 2732-146	
21. [X] The following fees are submitted:  Basic National Fee (37 CFR 1.492)(a)(1)-(5):  Neither international preliminary examination fee (37 CFR 1.482)  nor international search fee (37 CFR 1.445(a)(2)) paid to USPTO and International Search Report Not Prepared by EPO or JPO. \$1,080.00  International preliminary examination fee (37 CFR 1.482) not paid to USPTO but International Search Report has been prepared by the EPO or JPO. \$920.00  International preliminary examination fee (37 CFR 1.482) not paid to USPTO but international search fee (37 CFR 1.445(a)(2)) paid to USPTO. \$770.00  International preliminary examination fee (37 CFR 1.482) paid to USPTO but claims did not satisfy provisions of PCT Article 33(1)-(4). \$730.00  International preliminary examination fee (37 CFR 1.482) paid to USPTO and all claims satisfied provisions of PCT Article 33(1)-(4). \$100.00				CALCULATIONS	PTO USE ONLY
ENTER APPROPRIATE BASIC FEE AMOUNT =				\$ 920.00	
Surcharge of \$130.00 for furnishing the oath or declaration later than [ ] 20 [ ] 30 months from the earliest claimed priority date (37 CFR 1.492(e)).				\$	
Claims_	Number Filed	Number Extra	Rate		<u> </u>
Total Claims	36-20 =	16	X \$18.00	\$288.00	
Independent Claims	2-3=		X \$86.00	\$	
Multiple dependent claim(s) (if applicable) + \$290.00				\$	
TOTAL OF ABOVE CALCULATIONS =				\$288.00	
Applicant claims small entity status. See 37 CFR 1.27. The fees indicated above are reduced by 1/2.				\$	
SUBTOTAL =				\$1208.00	
Processing fee of \$130.00 for furnishing the English translation later than [ ] 20 [ ] 30 months from the earliest claimed priority date (37 CFR 1.492(f)).				\$	
TOTAL NATIONAL FEE =				\$1208.00	
Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40.00 per property +				\$40.00	
TOTAL FEES ENCLOSED =				\$	
				Amount to be refunded	\$
				charged	\$1248.00
<ul> <li>a. A check in the amount of \$ to cover the above fees is enclosed.</li> <li>b. Please charge my Deposit Account No. 02-2135 in the amount of \$1248.00 to cover the above fees.  A duplicate copy of this sheet is enclosed.</li> <li>c. The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. 02-2135. A duplicate copy of this sheet is enclosed.</li> <li>NOTE: Where an appropriate time limit under 37 CFR 1.494 or 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the application to pending status.</li> </ul>					
SEND ALL CORRESPONDENCE TO:  Signature				•	·
Customer No. 6449  _George R. Repper					
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1425 K St., N.W.  Washington, D.C. 20005  Phone: 202/783-6040  Registration Number				r	